

## Gesundheitsökonomie: ADHS als Beispiel

Was kann die Gesundheitsökonomie zu einer effektiven und effizienten Versorgung in der Kinder- und Jugendpsychiatrie beitragen?

Michael Schlander

Jahrestagung des BKJPP  
Gelsenkirchen, den 11. November 2004



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## ÜBERSICHT

Themen

- Zur Logik der Kosteneffektivität  
*Anliegen, Reichweite und Grenzen*
- Relevanz für ADHS  
*Ökonomische Dimension der ADHS*
- Evaluationen zu ADHS
  - Kanada
  - England
  - USA
  - Datenlage und Forschungsbedarf in Deutschland

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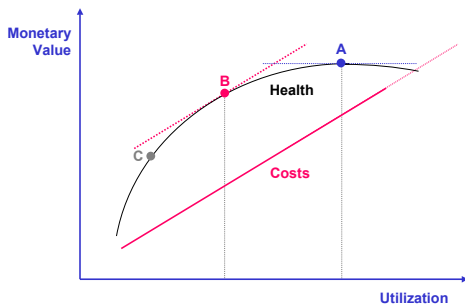
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## GESUNDHEITSÖKONOMIE

Determining the optimal level of health care utilization:  
(A) evidence based medicine, (B) economic evaluation\*



\*cf. Victor R. Fuchs: "Health Care and the United States Economic System",  
The Milbank Memorial Fund Quarterly, April 1972, pp. 211-237.

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# GESUNDHEITSÖKONOMIE

Ein zentrales Thema der Gesundheitsökonomie

## Rationale Ressourcenallokation

- Wie können begrenzte Ressourcen so eingesetzt werden, daß sie den größten gesundheitlichen „Nutzen“ produzieren?
- Anwendung des „ökonomischen Prinzips“ auf die **Produktion von Gesundheit**:
  - Maximale **Zielerreichung** („**Effektivität**“) mit definierten Mitteln
  - Definierte **Zielerreichung** mit einem Minimum an Mitteln („**Effizienz**“)



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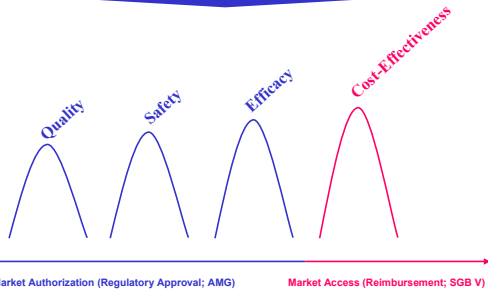
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# GESUNDHEITSÖKONOMIE

The Political Debate

## The Infamous "Fourth Hurdle"



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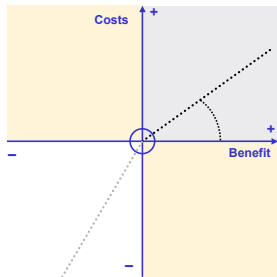
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# GESUNDHEITSÖKONOMIE

Economic evaluation of new medical technologies

## The Cost-Effectiveness Plane



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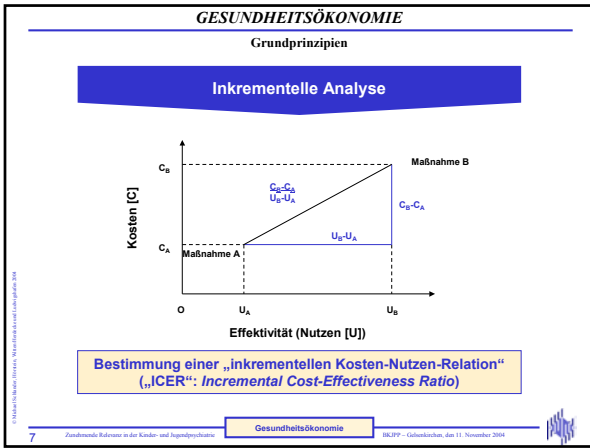
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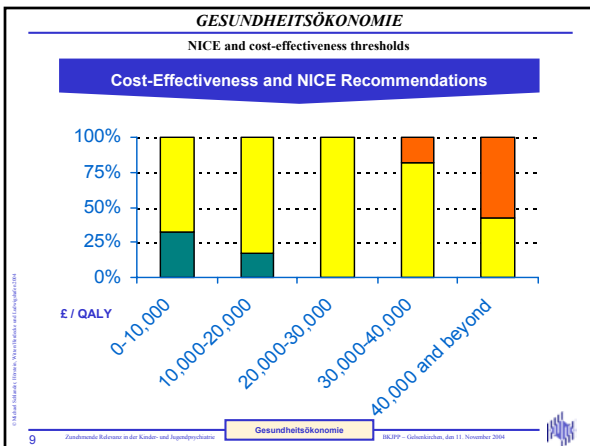
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NICE aims to find sub-groups in which interventions are more cost-effective

Recommendation: "Selected Use"

Typical Examples<sup>1</sup>:

- 'only for second-line use'
- 'only where other drugs are contra-indicated'
- 'in Type 1 but not Type 2 diabetes'
- 'only if other drugs have been tried and failed'
- 'only for those with severe disease'
- 'in cases with specific co-morbidities'

<sup>1</sup>adapted from Martin Buxton (2004)



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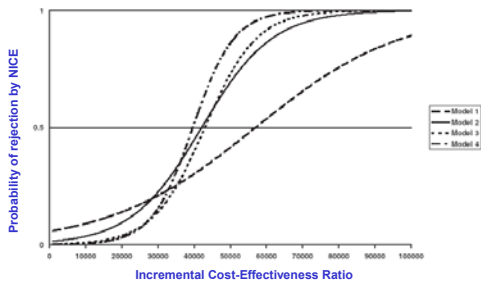
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NICE and cost-effectiveness thresholds: a probabilistic interpretation<sup>1</sup>

Cost-Effectiveness and NICE Recommendations



<sup>1</sup>Source: N. Devlin & D. Parkin, Health Economics 2004, 13: 437-452



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Ein „fünftes Kriterium“ für die Evaluation neuer medizinischer Interventionen

Budgetäre Auswirkungen

- **Rationale:** Ohne Kenntnis der budgetären Auswirkungen („budgetary impact“) einer Maßnahme können ihre **Opportunitätskosten** nicht bestimmt werden.
- **In der Praxis:** Kann eine neue Maßnahme innerhalb des **Rahmens vorgegebener Budgets finanziert werden** („affordability“)?
  - Perspektive der Kostenträger
  - „Budgetary Impact Analysis“:
    - Teil der Bewertungen medizinischer Technologien in England (NICE)
    - Obligatorisch für neue Medikamente in Australien und Finnland

<sup>1</sup>In Deutschland spielen die erwarteten budgetären Auswirkungen implizit eine wichtige Rolle bei der Entscheidung, sog. „Life-Saver“-Medikamente wie Viagra<sup>®</sup> von der Kostenerstattung auszunehmen.



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## RELEVANZ FÜR ADHS

### Wesentliche gesundheitsökonomische Aspekte

#### Ökonomische Evaluation von ADHS

- „Burden of Disease“
  - Epidemiologie (und diagnostische Kriterien)
  - Kurz- und langfristige Krankheitsfolgen; volkswirtschaftliche Kosten
- **Therapeutische Interventionen**
  - Nutzen-Risiko-Profil („Wirkung“) unter experimentellen Bedingungen (Ergebnisse klinischer Studien)
  - **Effektivität** („Wirksamkeit“) unter Praxisbedingungen
  - „Indirekte“ und „intangible“ Behandlungsfolgen
  - Behandlungskosten und **Kosten-Effektivität** („ICER“)
- **Relevanz für Kostenträger**
  - Budgetäre Auswirkungen
  - Kosten-Nutzen-Relation aus volkswirtschaftlicher Perspektive

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Kinderns Relevanz in der Kinder- und Jugendpsychiatrie

Gesundheitsökonomie

BU/PP – Göttingen, den 11. November 2004



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## AN ECONOMIC PERSPECTIVE

### A societal perspective

#### ADHD: Burden of Disease (Overview)<sup>1</sup>

- **Health care system**
  - 50% increase in bike accidents; 2-4x more motor vehicle accidents
  - 33% increase in emergency room visits
- **School and occupation**
  - 46% expelled; 35% drop out; lower occupational status
- **Family**
  - 3-5x parental divorce (or separation); 2-4x sibling fights
- **Employer**
  - parental absenteeism and productivity
- **Society**
  - 2x risk of substance abuse disorders (and earlier onset and lower probability to quit in adulthood)
  - Criminal behavior; justice and legal system costs

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<sup>1</sup>multiple references, cf. S. Williams (2004)

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## AN ECONOMIC PERSPECTIVE

### A third-party payer perspective

#### Direct (Medical) Costs Associated with ADHD

- **Birth cohort study Rochester, MN (1976/82 – 1995)<sup>1</sup>**
  - 7.5% of cohort (309 / 4,119) met criteria for ADHD
  - 9-year median costs more than doubled with ADHD (US-\$4,306 vs. US-\$1,944)
  - Study did not include outpatient drugs (not covered by Medicare)
- **Employer database study (1996-1998)<sup>2</sup>**
  - Annual direct cost per ADHD patient US-\$ 1,574 compared to US-\$541 in controls
- **Medical Expenditure Panel survey (1996)<sup>3</sup>**
  - Overall costs comparable with asthma (based on n=165 / 5,439 children age 5-20y)
- **North Dakota DoH Claims Database estimates (2003)<sup>4</sup>**
  - Mean prevalence 3.9 percent (peak at 10y of age; population n=7,745)
  - Annual cost of care US-\$ 649 compared to US-\$ 495 for controls
  - 1.9% of total health care expenditures for children was attributable to ADHD ->
  - The U.S. cost of care attributable to ADHD would be US-\$ 2.15 bn annually

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<sup>1</sup>C.L. Lebowitz et al. (2001); <sup>2</sup>A.R. Swensen et al. (2003); <sup>3</sup>E. Chan et al. (2002); <sup>4</sup>L. Baird et al. (2003); much of the economic impact of ADHD is related to the costs of family members of ADHD patients (cf. Swensen et al. 2003; Lesesne et al. 2003)

Gesundheitsökonomie

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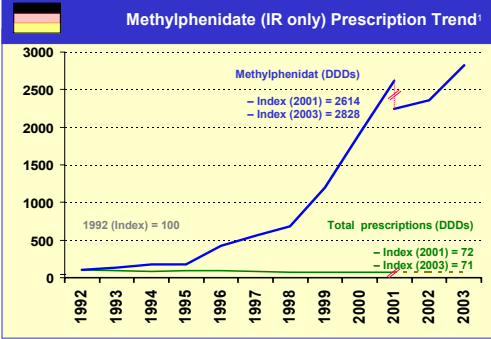
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**AN ECONOMIC PERSPECTIVE**

During the last decade, Methylphenidate (IR) prescriptions have grown by a factor of >28.

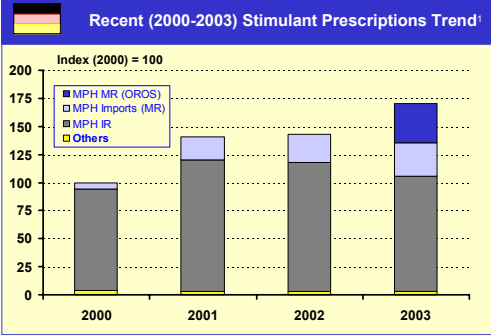


Source: U. Schwabe, D. Paffrath 1993 – 2004, note change of database for year 2001/2002; all data on "public" spending refer to statutory sick funds (GKV), without parallel imports



**AN ECONOMIC PERSPECTIVE**

Since the year 2000, Methylphenidate (MR) prescriptions have continued to grow rapidly



according to preliminary data from one German sickness fund - GEK (2004), DDD shares: "others": pemoline, lisdextylamine

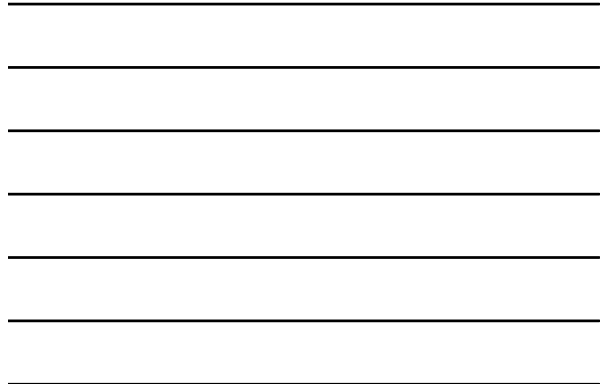


**AN ECONOMIC PERSPECTIVE**

Estimating the future impact of ADHD on pharmaceutical spending (perspective of the Statutory Health Insurance, SHI [GKV])

- Budgetary Impact Analysis (1): Assumptions**
- Utilization:
    - for medication, on average 250 DDDs / treated patient year
  - Acquisition costs:
    - Methylphenidate, Immediate Release (IR): €1.41 / 30mg [DDD] (Equasym<sup>R</sup> 10)<sup>1</sup>
    - Methylphenidate, Modified Release (MR): €2.72 / 36mg [DDD] (Concerta<sup>®</sup>)<sup>1</sup>
    - Atomoxetine: market price unknown; assumed to correspond to Concerta<sup>R</sup>
  - Epidemiology:
    - True prevalence: Scenario 1 2.4% (corresponding to ICD-10 criteria)<sup>2</sup>
    - True prevalence: Scenario 2 6.0% (corresponding to DSM-IV criteria)<sup>2</sup>
      - by 2009, 50% of ADHD patients will be actually diagnosed
      - by 2009, 80% of those diagnosed will receive pharmacotherapy<sup>2</sup>
      - of those, 25% Methylphenidate IR<sup>3</sup>, 50% Methylphenidate MR<sup>3</sup>, 25% Atomoxetine<sup>4</sup>

based upon German ex-pharmacy prices, January 2004 (data source: ifap Index, Q1 2004); <sup>1</sup>B. Brühl et al. (2000); <sup>2</sup>Methylphenidate or "stimulants"; <sup>3</sup>forecasting will be discussed - please note, however, that these estimates shall not be construed / interpreted as sales forecasts; cf. → Dammann et al. (2002) and recent U.S. post-launch data <sup>4</sup>U.S. \$90% according to Sailer (2000)



*AN ECONOMIC PERSPECTIVE*

Estimating the future impact of ADHD on pharmaceutical spending  
(perspective of the Statutory Health Insurance, SHI [GKV])

Budgetary Impact Analysis (2): Estimates			
Scenario	(1) "ICD-10" <sup>1</sup>	(2) "DSM-IV" <sup>1</sup>	(3) "Baltimore, Md." <sup>2</sup>
Population 6-18y	10.8 mill.	10.8 mill.	10.8 mill.
Prevalence (6-18y) <sup>1</sup>	259,200	648,000	*4,6% Pharmacotherapy <sup>2</sup>
Treatment prevalence	103,680	259,200	496,800
Assumptions on treatment – see "Epidemiology"			
Cost of therapy (2009) <sup>3</sup>	€ 62.0 mill.	€ 155.0 mill.	€ 297.0 mill.
Cost of therapy (2002) <sup>4</sup>	€ 22.5 mill.	€ 22.5 mill.	€ 22.5 mill.
Increase over 2002	+ 176% (x 2.8)	+ 589% (x 6.9)	+ 1,220% (x 13.2)

<sup>1</sup>Data source: Federal Statistical Bureau (Statistisches Bundesamt);  
<sup>2</sup>J. Safer et al. (1996): 5-14y olds in Baltimore Public Schools, 1995;  
<sup>3</sup>prescription drugs only; this figure compares to total spending on psychotropic drugs of € 1,333.3 mill. in 2002 (Schwabe & Paffrath 2004);  
<sup>4</sup>attributable to ADHD (assumed: 95% of total revenue)

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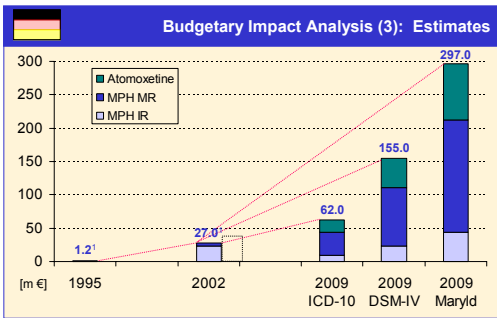
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*AN ECONOMIC PERSPECTIVE*

Estimating the future impact of ADHD on pharmaceutical spending  
(perspective of the Statutory Health Insurance, SHI [GKV])



<sup>1</sup>Data source: Schwabe und Paffrath (1996, 2003); GEK (2004); MPH sales data adjusted by excluding 5% share of indications other than ADHD in children and adolescent; year 2002 data include an estimated revenue of 4.6m€ MPH MR reimports; of 2009 (ANR 2004); 36.7m€ (incl. imports)

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*AN ECONOMIC PERSPECTIVE*

Explaining the profound increase in expected prescription drug spending

- Reasons for Increased Spending on ADHD Treatment**
- Growing awareness (education & promotional efforts by industry)
    - ADHD will be diagnosed more frequently (and earlier)
  - Growing acceptance of pharmacotherapy
    - More prescriptions per diagnosed patient
  - Improved therapeutic options
    - Methylphenidate („Modified-Release“ preparations)
    - Atomoxetine
    - Higher cost per DDD
- These factors combined exert a **multiplicative effect**, leading to the expectation of a **pronounced increase of drug expenditures**.
- Other cost components (including, but not limited to, diagnostic procedures and cognitive-behavioral therapy) are likely to increase as well.

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## ÖKONOMISCHE EVALUATIONEN

- ↪ Kanada (CCOHTA)
- ↪ England (NICE)
- ↪ USA (MTA-Studie)
- ↪ England/Deutschland („Compliance-Modell“)

## INTERNATIONALE DATEN

Übertragbarkeit („Portabilität“) gesundheitsökonomischer Daten

### Interpretation internationaler Daten

- ↪ **Diagnostische Kriterien**
  - ↪ ICD-10 führt verglichen mit DSM-IV zu geringerer Prävalenz<sup>1</sup> und höherem Anteil hyperkinetischer Symptomatik und neurologischer Störungen<sup>2</sup>.
  - ↪ Unterschiedliche **Patientenprofile** (auch bzgl. Komorbidität) müssen bei der Bewertung von internationalen Studien berücksichtigt werden.
- ↪ **Schulsystem und Betreuungskonzepte**
  - ↪ Länge des Schultages, Ganztagesbetreuung, „School Nurses“, usw.
- ↪ **Soziodemographische Aspekte und Lebensqualität**
- ↪ **Therapeutisches Vorgehen („resource utilization“)**
  - ↪ In USA<sup>3</sup> primär multimodale Therapie einschließlich Stimulanzien; in Europa<sup>4</sup> tendenziell (mit Unterschieden<sup>1</sup>) Präferenz für medikamentöse Therapie „erst wenn Verhaltenstherapie versagt“
- ↪ **Unterschiedliche Faktorkosten („unit costs“)**

<sup>1</sup>vgl. E. Taylor et al. (1998); E.J. Garland (1998); American Association of Pediatrics [AAP] (2001);  
<sup>2</sup>National Institute of Clinical Excellence [NICE] (2000), im Unterschied zu British National Formulary [BNF] (2001)

## AN ECONOMIC PERSPECTIVE

Existing economic studies of ADHD treatment

### Cost-Effectiveness of ADHD Treatment (1): HTAs

- ↪ **CCOHTA (Canada, 1998)<sup>1</sup>**
  - ↪ Assumed daily dose MPH IR: 2 x 10mg
  - ↪ MPH IR dominated its alternatives
  - ↪ **ICER** (versus a hypothetical “Do Nothing” alternative):  
**CAN-\$ 498 / ES** (basis CTRS, WMD)
  - ↪ Few data on on behavioral therapy.
- ↪ **NICE (England, 2000)<sup>2</sup>**
  - ↪ Assumed daily dose MPH IR: 3 x 10mg
  - ↪ **Cost / QALY** estimated at **£ 9,2000 – £ 14,600**

<sup>1</sup>J. Zupancic et al. (1998): a six-point or one standard deviation (weighted mean) difference was considered clinically relevant, CAN-\$ (1997);  
<sup>2</sup>Lord & S. Paisley (2000) and A. Gilmore & R. Miles (2001): NHS perspective, one-year time horizon, £ (1997), currently (2004), an appraisal update is being prepared by NICE.



AN ECONOMIC PERSPECTIVE

Existing economic studies of ADHD treatment

Cost-Effectiveness of ADHD Treatment (2): Recent Studies

- Cost-Effectiveness Findings from the MTA Study (USA, 2004)<sup>1</sup>
  - Medication Management (MPH 37.7mg/d, t.i.d.) versus Community Care<sup>2</sup>: ~US-\$ 360 / patient "normalized" (SNAP-IV score <1); or ~ 3,000 US-\$ / QALY
  - Combination Treatment (MPH 31.2 mg/d, t.i.d.) vs. Behavioral Treatment Only: ~US-\$ 2,500 / patient "normalized" (SNAP-IV score <1); or ~ 21,000 US-\$ / QALY
  - Behavioral Treatment Only was dominated by Medication Management
  - Combination Treatment vs. Medication Management Only: ~US-\$ 55,000 / patient "normalized"; or: ~475,000 US-\$ / QALY
- Cost-Effectiveness MPH-OROS versus MPH-IR (England, 2004)<sup>3</sup>
  - Comparable incremental cost-effectiveness of MPH-OROS as MPH-IR
  - Extended dominance of MPH-OROS over MPH-IR under a wide range of assumptions (regarding treatment compliance)

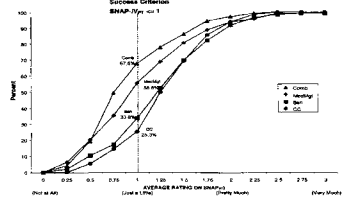
<sup>1</sup> Jensen et al. (2004) societal perspective, one-year time horizon, US-\$ (2000), cost / QALY estimates are to be considered approximations.  
<sup>2</sup>Note that most Community Care patients received MPH, mean total daily dose / day at study completion: 22.6mg, averaging 2.3 doses per day (vs. 3.0 doses per day for MTA-normalized subjects) - cf. MTA (1999).  
<sup>3</sup>M. Schlander et al. (2004)

AN ECONOMIC PERSPECTIVE

Wesentliche gesundheitsökonomische Aspekte

ADHS: Therapeutische Interventionen

MTA-Studie<sup>1</sup>

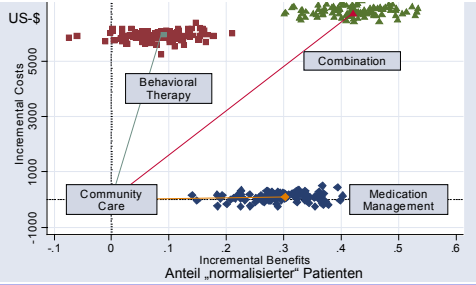


Die MTA-Studie führte zu einer breiten Akzeptanz der Pharmakotherapie als 1<sup>st</sup> Line-Behandlung – mit oder ohne Verhaltenstherapie – (AAP 2001) oder als Teil einer multimodalen Behandlungsstrategie (NICE 2000).

<sup>1</sup>Darstellung entnommen aus J.M. Swanson (2001) [„Clinical Relevance of the Primary Findings of the MTA“, J. Am. Acad. Child Adolesc. Psychiatry, Vol. 40, p.175]

AN ECONOMIC PERSPECTIVE

MTA-Studie: Kosten-Effektivität alternativer Therapiestrategien der ADHS



Quelle: Jensen et al. (2004); Schlander et al. (2004)

**AN ECONOMIC PERSPECTIVE**

Interpreting the primary C/E analysis of the MTA

**Key Economic Findings from the MTA**



- From the **U.S. societal perspective**, intensive Medication Management is clearly cost-effective.
  - For **Medication Management**: estimated incremental cost per patient "normalized" ~ US-\$ 360, translating into an estimated incremental cost / QALY gained of ~ US-\$ 3,000 (*dimension!*).
  - Significant **impact of co-morbidity**, with more complex cases requiring more complex treatment.
  - Cost-effectiveness of **behavioral therapy** remains to be proven.
- The MTA data will be used
  - to assess the **impact of ICD-10 criteria** as a moderator;
  - to estimate cost-effectiveness of treatment strategies studies from the **perspective of European health care systems** (including NHS and GKV).

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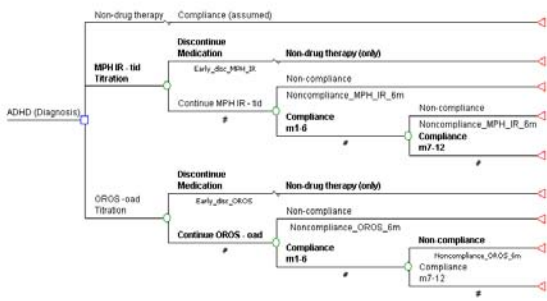
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**COST-EFFECTIVENESS OF MPH OROS**

**Economic Evaluation: Decision Tree Model<sup>1</sup>**




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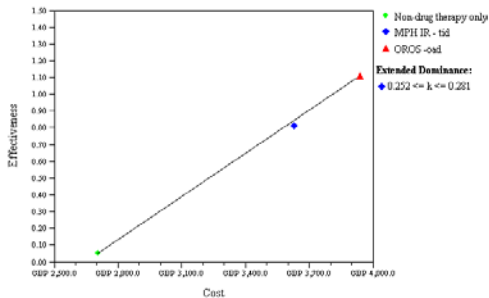
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**COST-EFFECTIVENESS OF MPH OROS**

Economic evaluation from the perspective of the UK National Health Service (NHS)

**ADHD Case (1): Teacher Ratings**




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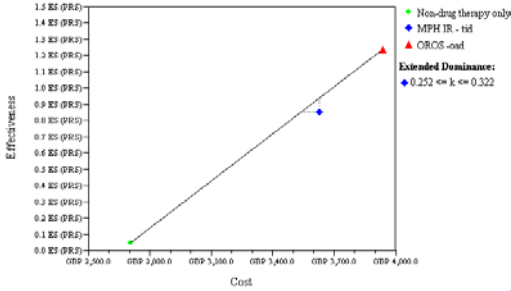
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**COST-EFFECTIVENESS OF MPH OROS**

Economic evaluation from the perspective of the UK National Health Service (NHS)

**ADHD Case (2): Parent Ratings**




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**COST-EFFECTIVENESS OF MPH OROS**

Case A: ADHD-adjusted compliance expectation for MPH IR

**Ergebnisse: Kosten-Effektivität MPH-OROS**

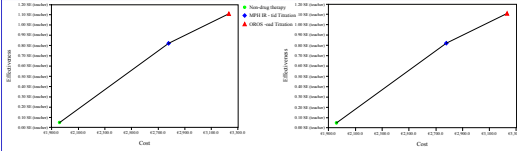


**Lehrer-Rating**

IOWA Conners I/O Scale

**Eltern-Rating**

IOWA Conners I/O Scale



ICER	MPH-OROS vs. MPH-IR	MPH-IR vs. CBT Only
Teacher Rating	€ 1,564 / ES CTRS (I/O) x 1y	€ 1,061 / ES CTRS (I/O) x 1y
Parent Rating	€ 1,220 / ES CPRS (I/O) x 1y	€ 1,008 / ES CPRS (I/O) x 1y

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**SITUATION IN DEUTSCHLAND**

Wesentliche gesundheitsökonomische Aspekte

**ADHS: Kosten und Kosten-Effektivität**



- Verlässliche Daten zur Versorgungssituation lückenhaft
- Daten zu Krankheitskosten fehlen weitestgehend
  - gesamtgesellschaftliche / volkswirtschaftliche Perspektive
  - Perspektive der Gesetzlichen Krankenversicherung
- Medikamentöse Therapie und Verhaltenstherapie
  - Effektivität über 24 Monate dokumentiert (vgl. MTA-Studie)
  - Diskussion bisher fokussiert
    - auf Zunahme der MPH-Verordnungen
    - isolierte Kosten- oder Nutzenbewertungen (IQWiG)
  - Bisher kaum Kosten-Effektivitäts-Bewertungen
    - Methylphenidat-OROS verglichen mit Methylphenidat-IR: trotz höherer Tagestherapiekosten vermutlich vergleichbare oder zumindest akzeptable Kosteneffektivität

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